

# Comparison of Fine-tuning and Extension Strategies for Deep Convolutional Neural Networks

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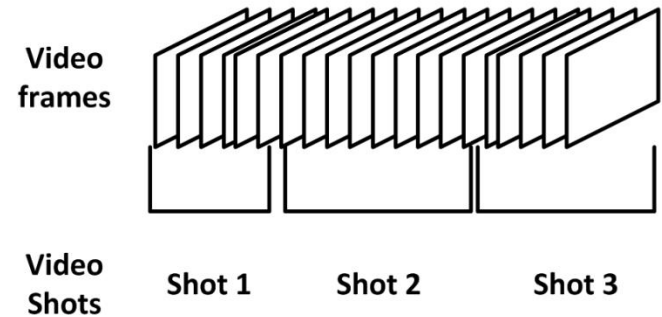
# Problem

## Pool of Concepts



## Video Concept Detection

### Video shot segmentation



### Video shot sampling and annotation

## Image Concept Detection

Bicycle, 0.95  
 Road, 0.98  
 People, 0.8  
 ....  
 Car, 0.01  
 Indoor, 0.1

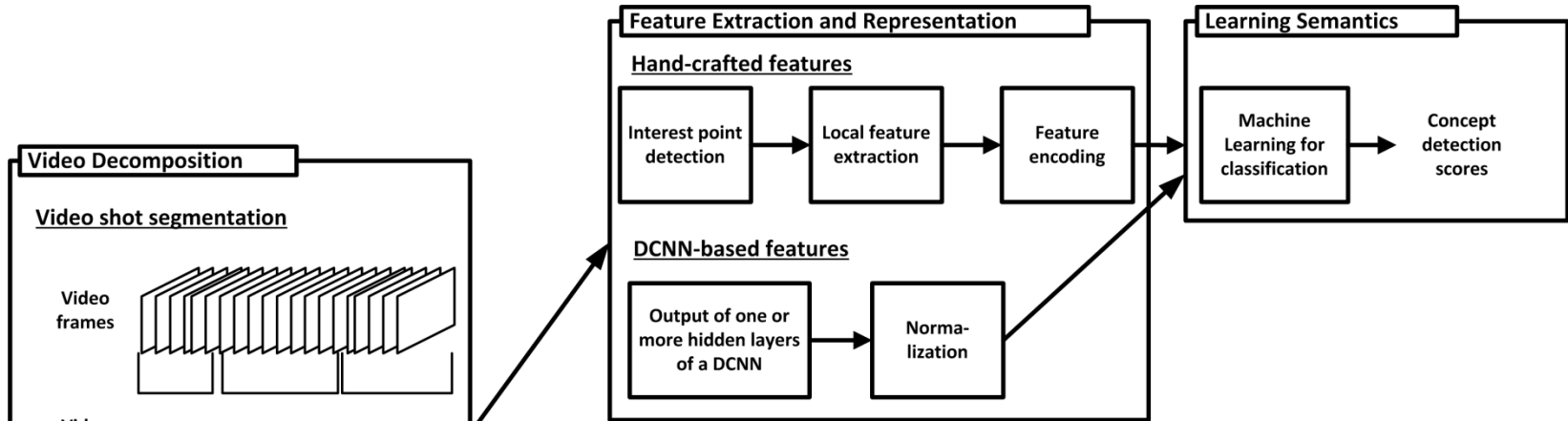


Car, 0.95  
 Road, 0.98  
 People, 0.8  
 ....  
 Indoor, 0.01  
 Dog, 0.1

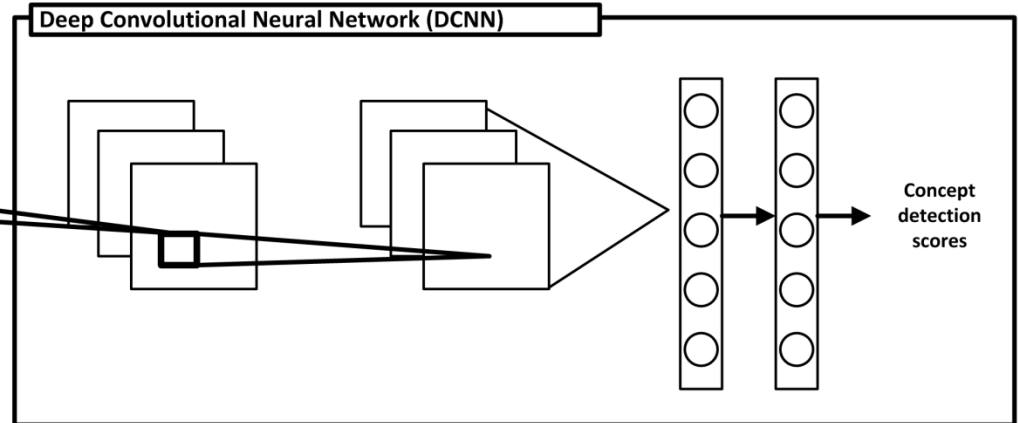


# Typical solution

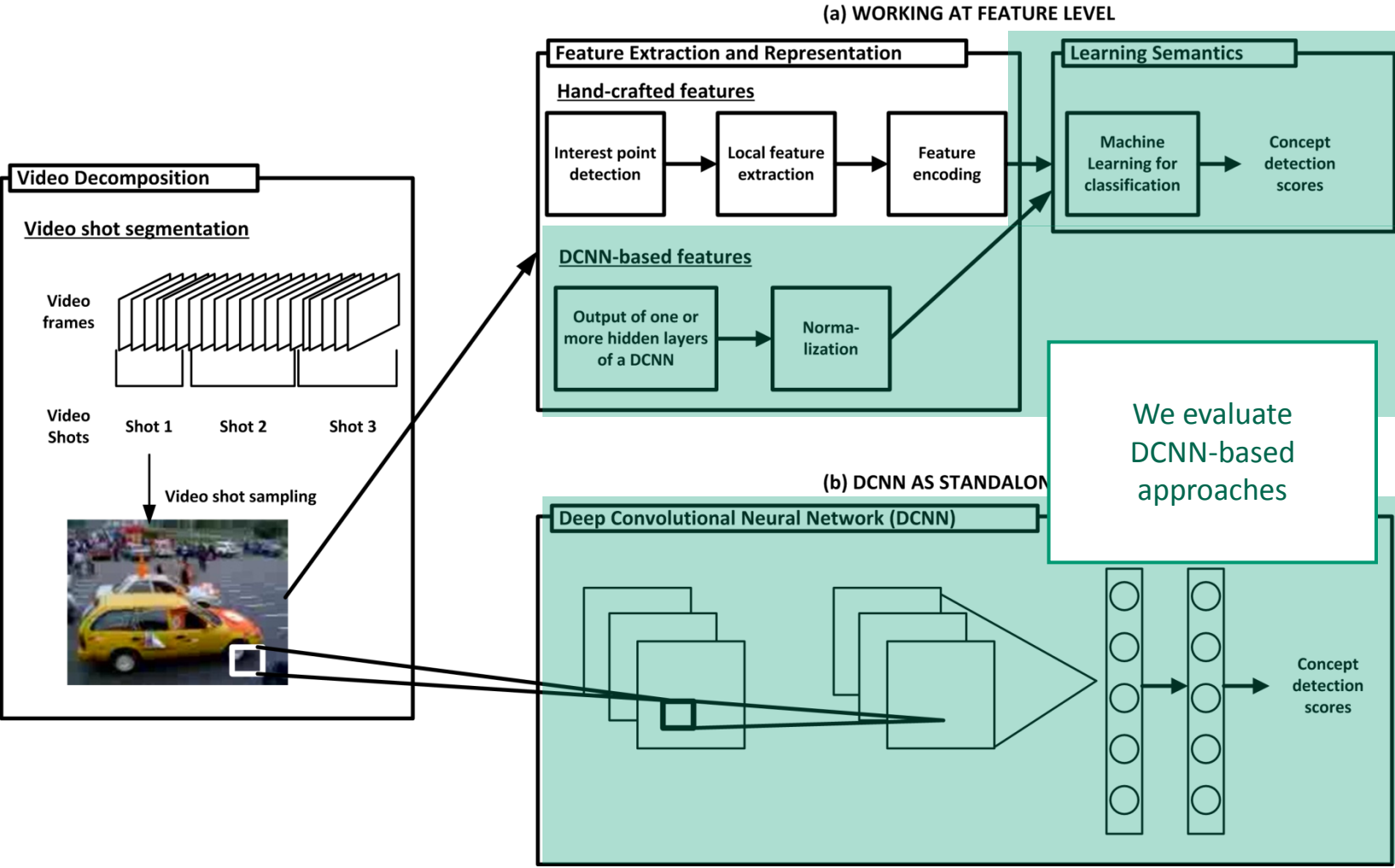
(a) WORKING AT FEATURE LEVEL



(b) DCNN AS STANDALONE CLASSIFIER

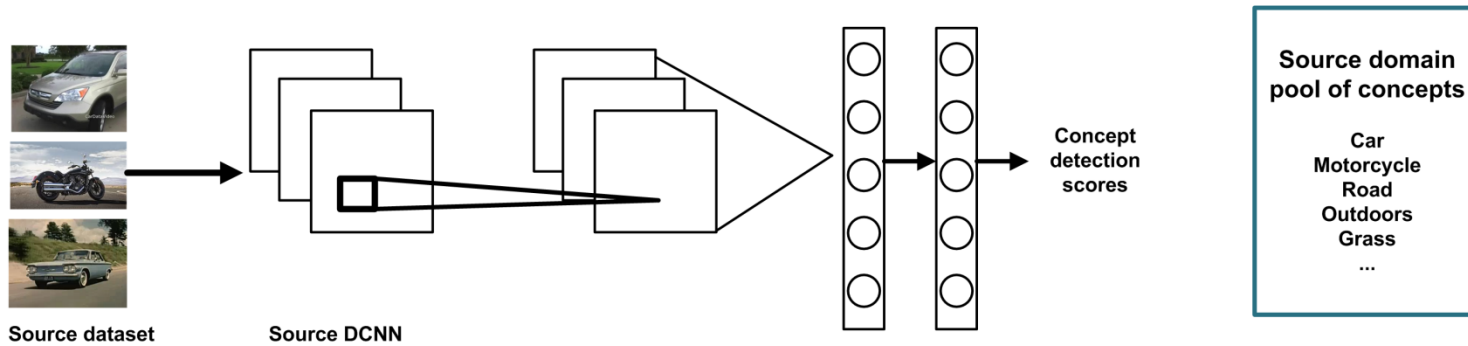


# Typical solution

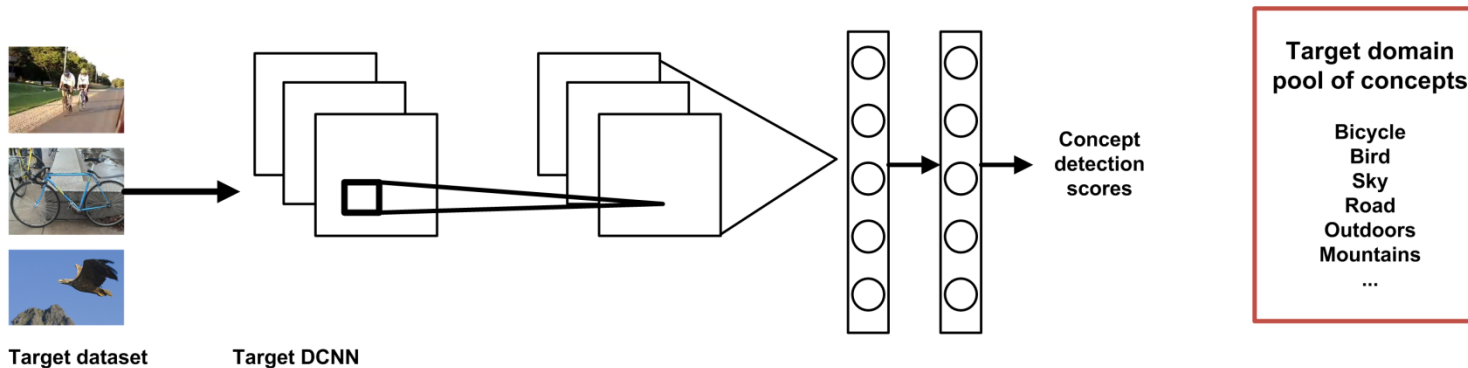


# Transfer learning

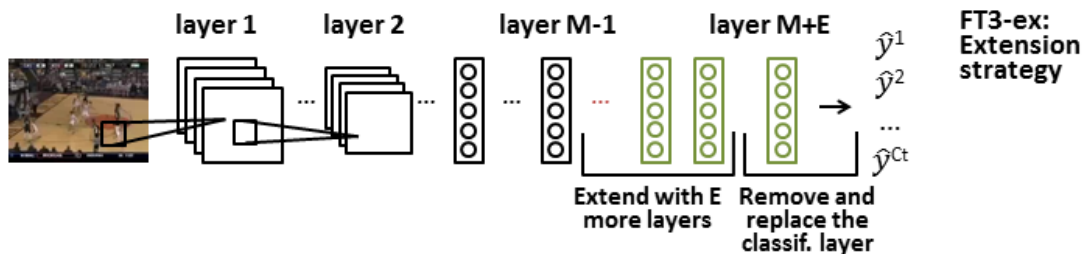
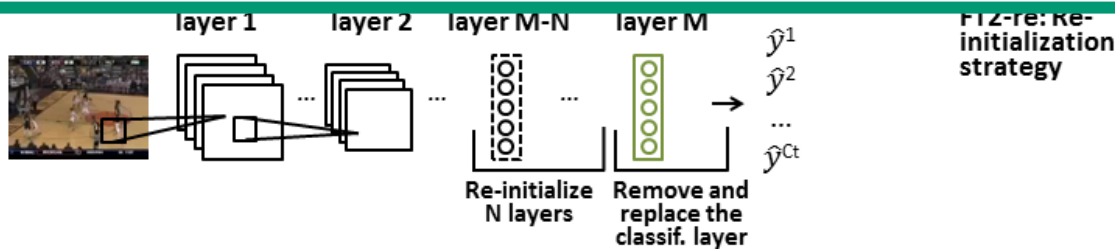
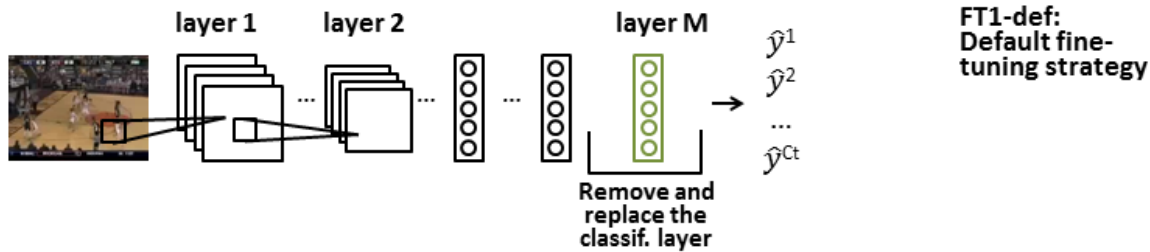
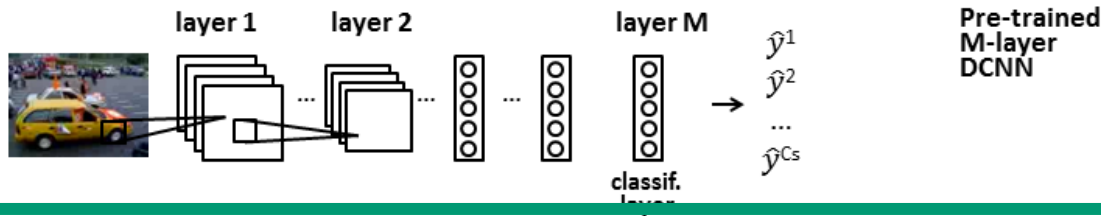
## Source domain DCNN



## Target domain DCNN



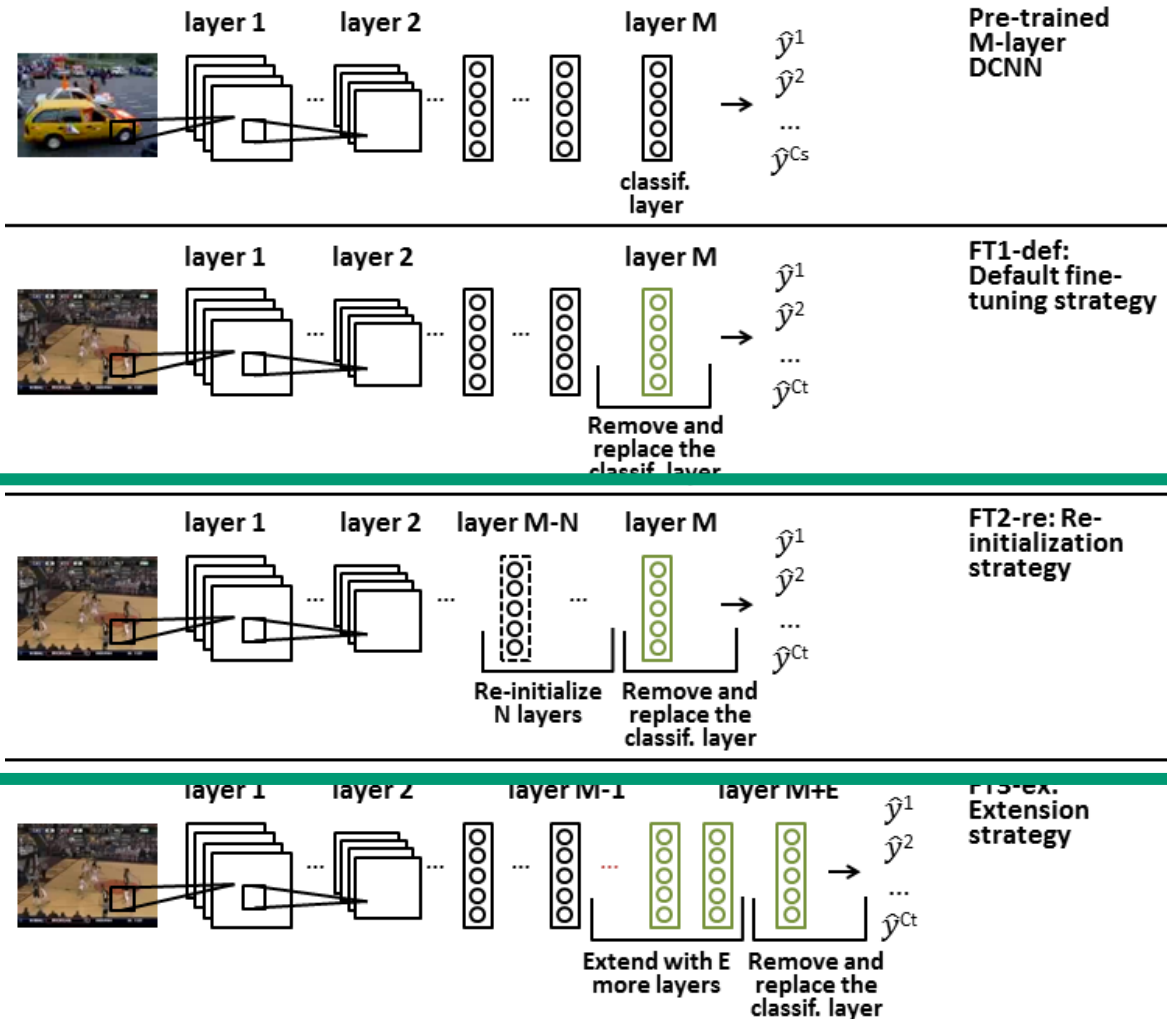
# Literature review: Fine-tuning strategies



- Replacing the classification layer with a new output layer [2,5,18]

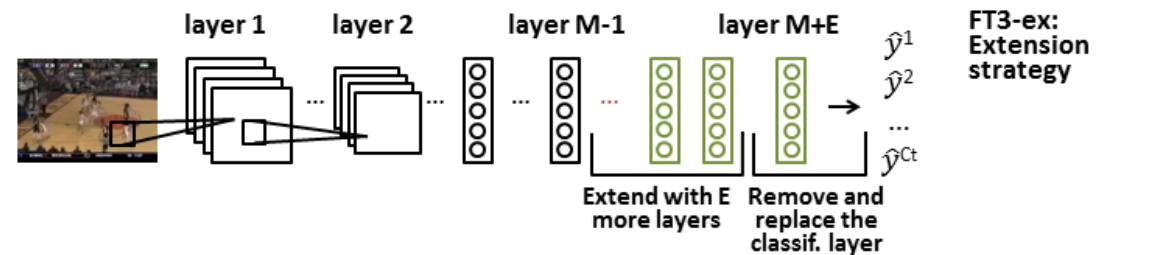
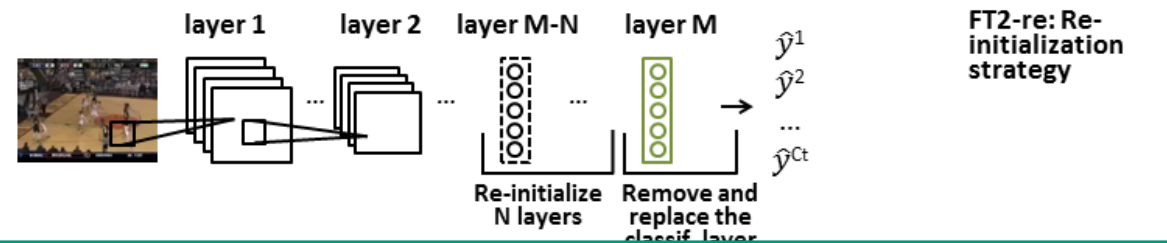
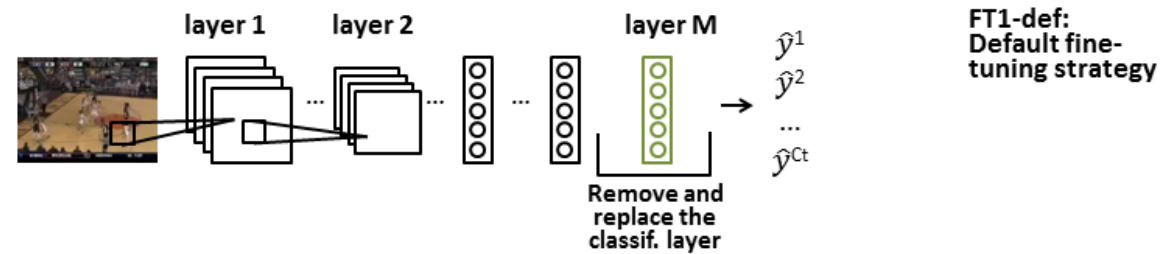
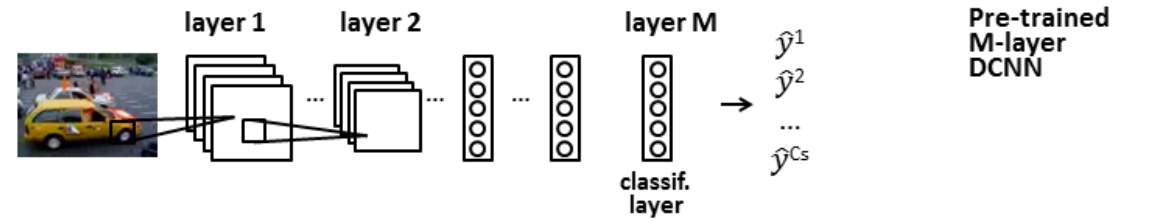
- The new layer is learned from scratch
- All the other layers are fine-tuned

# Literature review: Fine-tuning strategies



- Re-initializing the last N layers [1,9,18]
  - The last N layers are learned from scratch
  - The first M-N layers are fine-tuned with a low learning rate [18] (or could remain frozen [1,9])

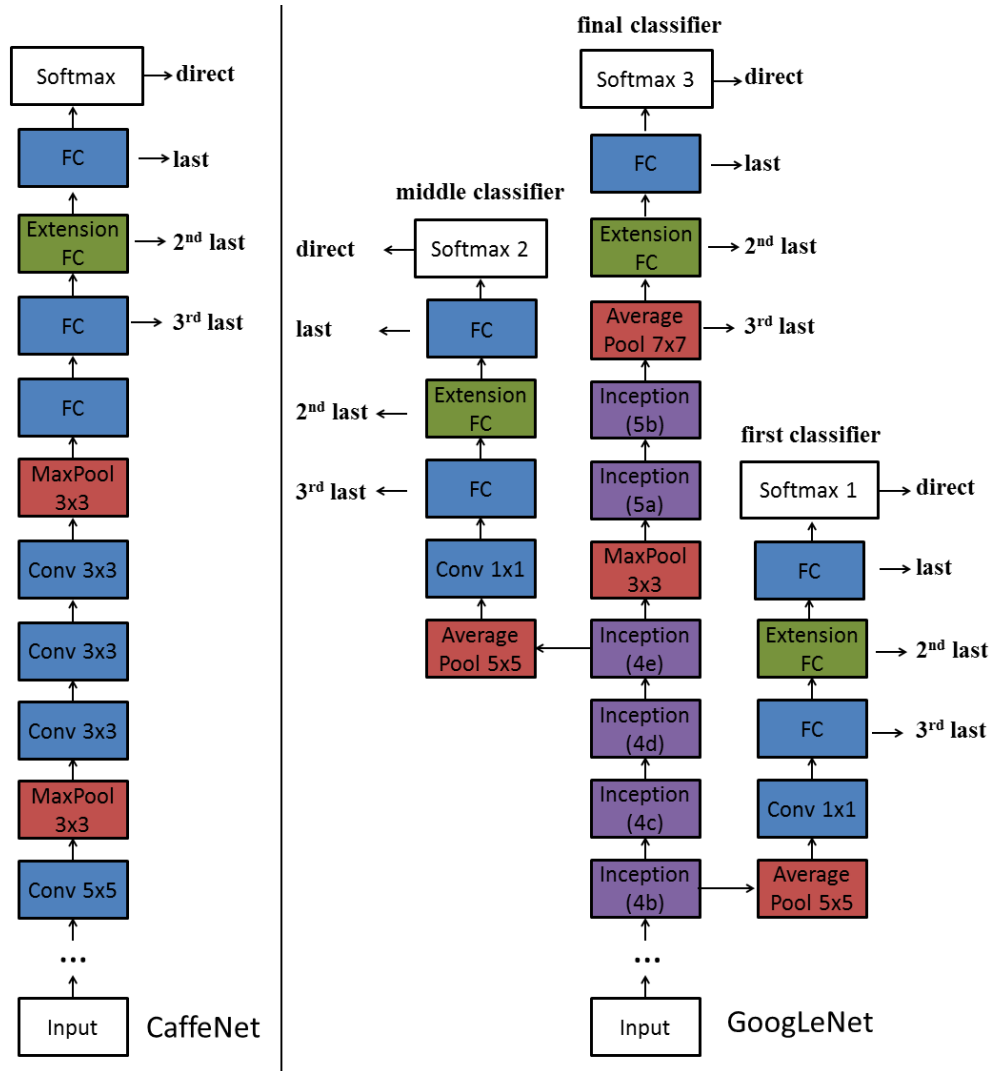
# Literature review: Fine-tuning strategies



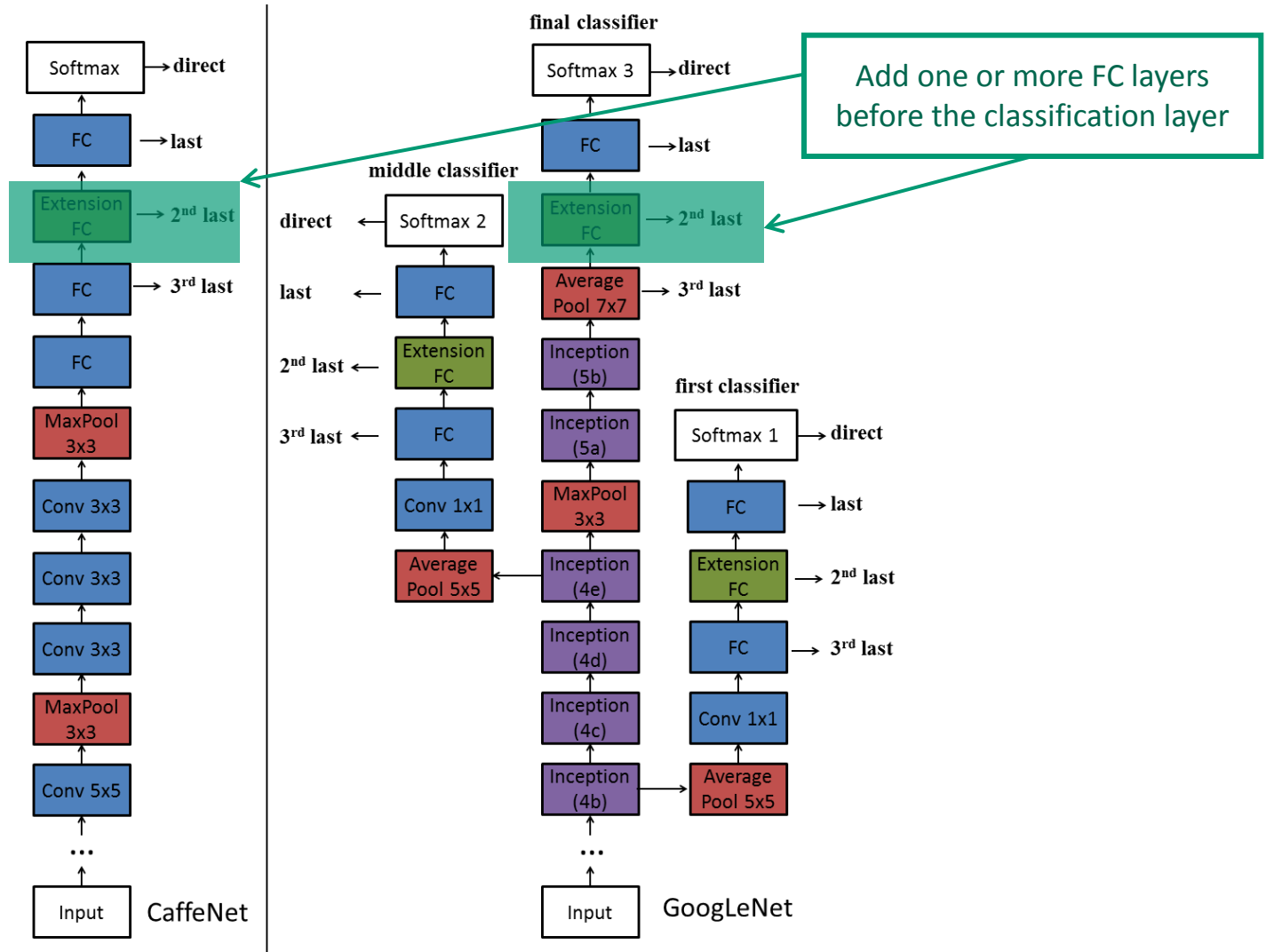
- Extending the network by one or more fully connected layers [1,8,9,15]



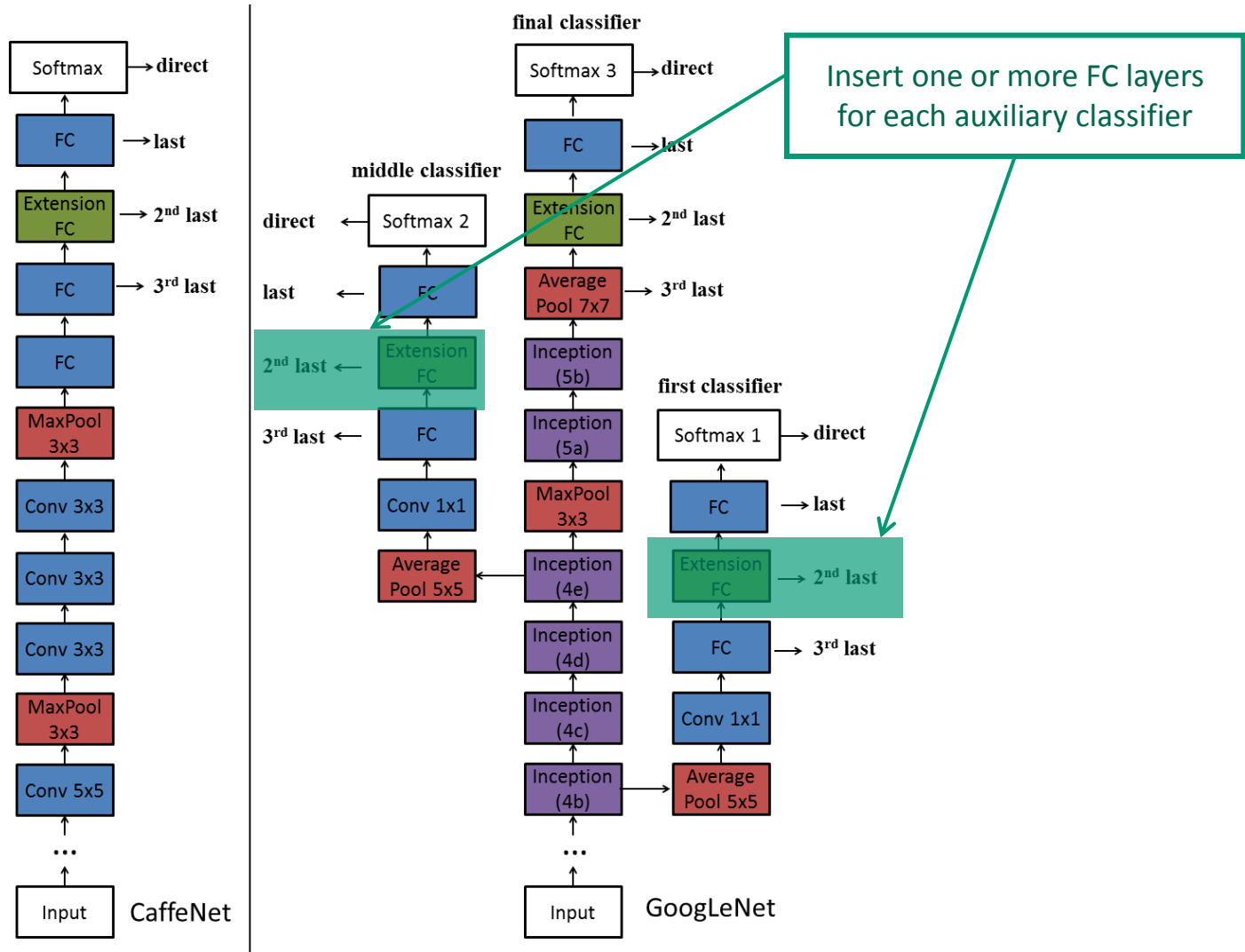
# FT3-ex: extension strategy



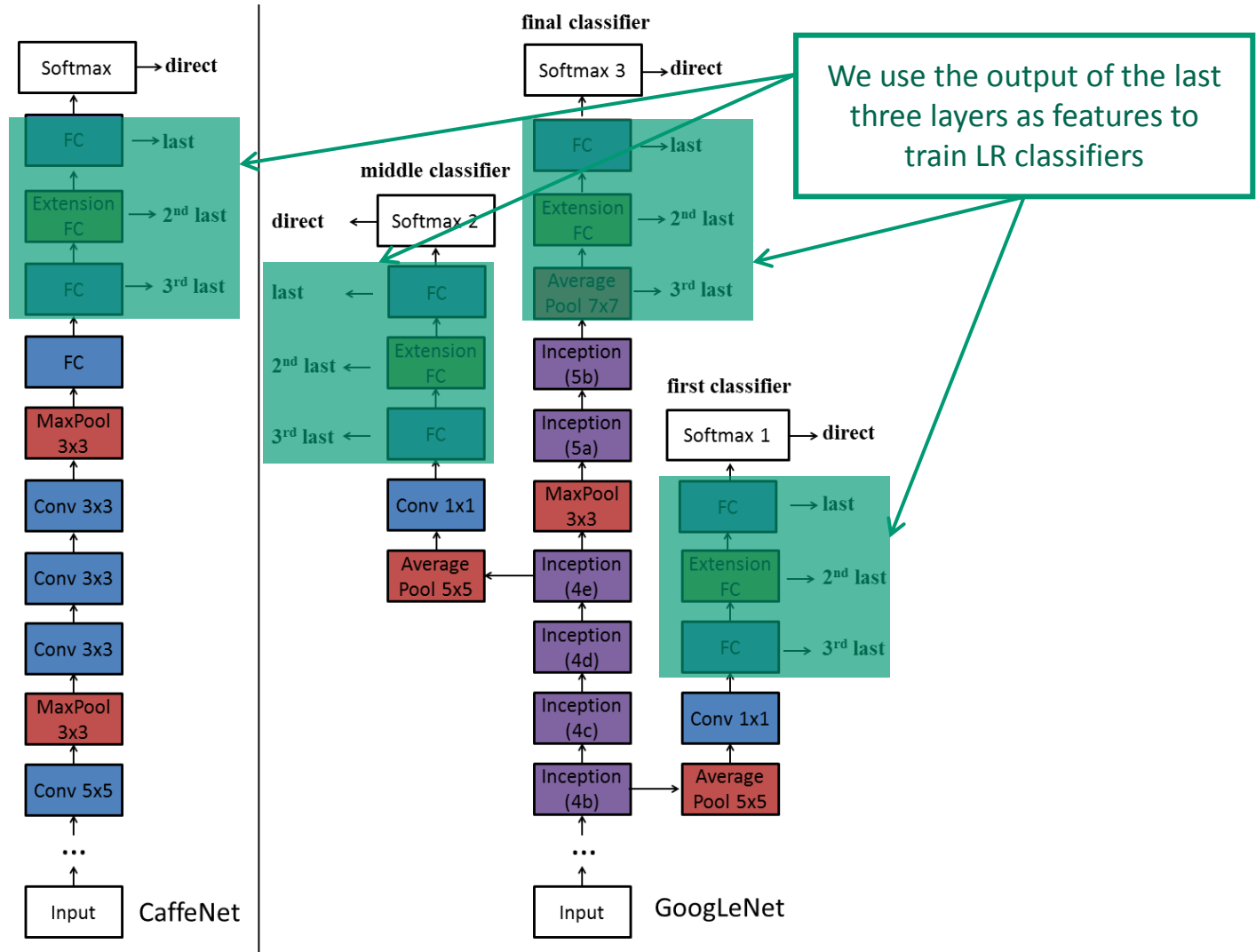
# FT3-ex: extension strategy



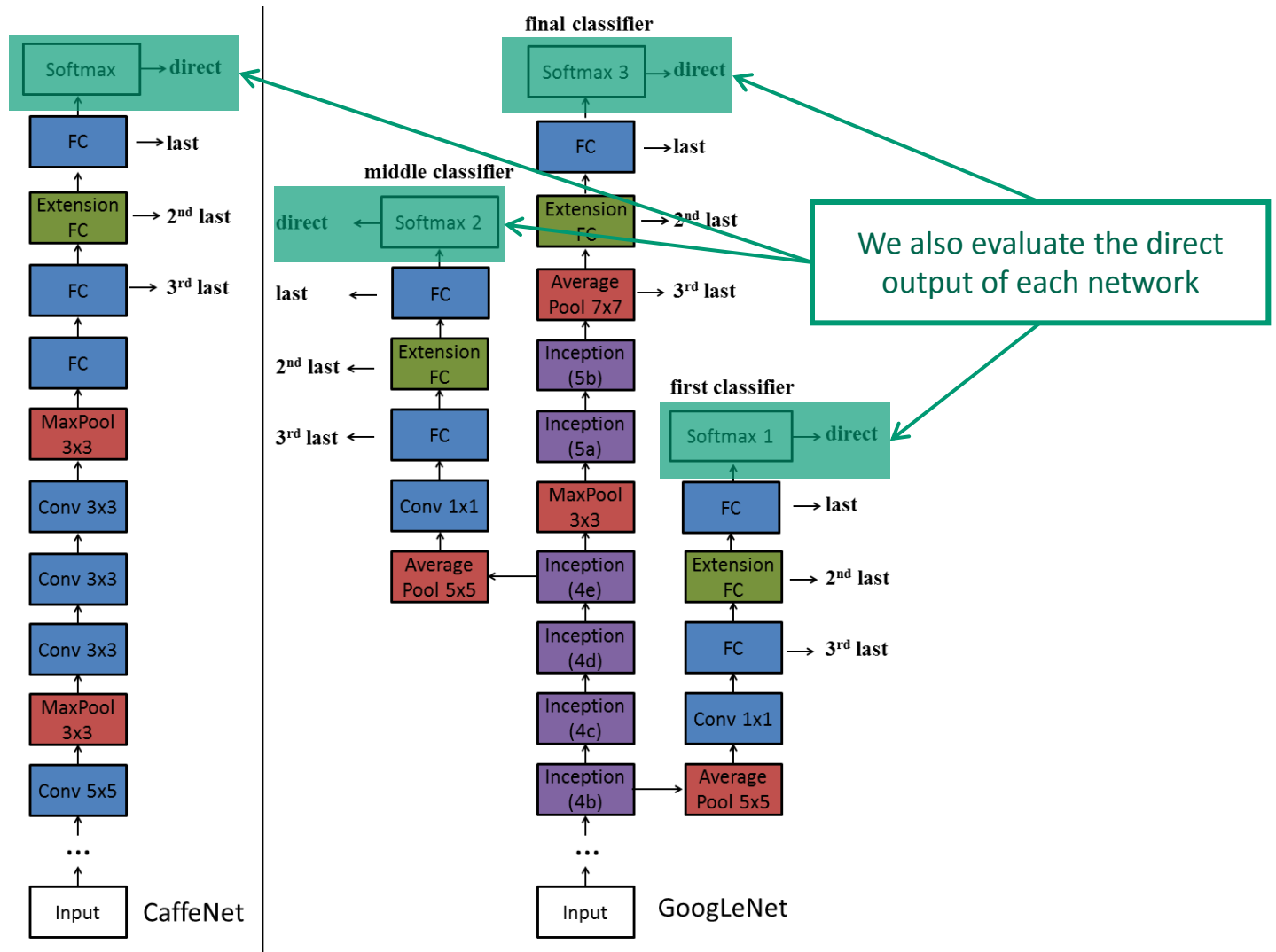
# FT3-ex: extension strategy



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# FT3-ex: extension strategy



# Evaluation setup

Dataset: TRECVID SIN 2013

- 800 and 200 hours of internet archive videos for training and testing
- One keyframe per video shot
- Evaluated concepts: 38, Evaluation measure: MXinfAP

Dataset: PASCAL VOC 2012

- 5717 training, 5823 validation and 10991 test images
- Evaluation on the validation set instead of the original test set
- Evaluated concepts: 20, Evaluation measure: MAP

We fine-tuned 3 pre-trained ImageNet DCNNs:

- CaffeNet-1k, trained on 1000 ImageNet categories
- GoogLeNet-1k, trained on the same 1000 ImageNet categories
- GoogLeNet-5k, trained using 5055 ImageNet categories

# Evaluation setup

For each pair of utilized network and fine-tuning strategy we evaluate:

- The direct output of the network
- Logistic regression (LR) classifiers trained on DCNN-based features
  - One LR classifier per concept trained using the output of one layer
  - The late-fused output (arithmetic mean) of LR classifiers trained using the last three layers

We also evaluate the two auxiliary classifiers of the GoogLeNet-based networks

# Preliminary experiments for parameter selection

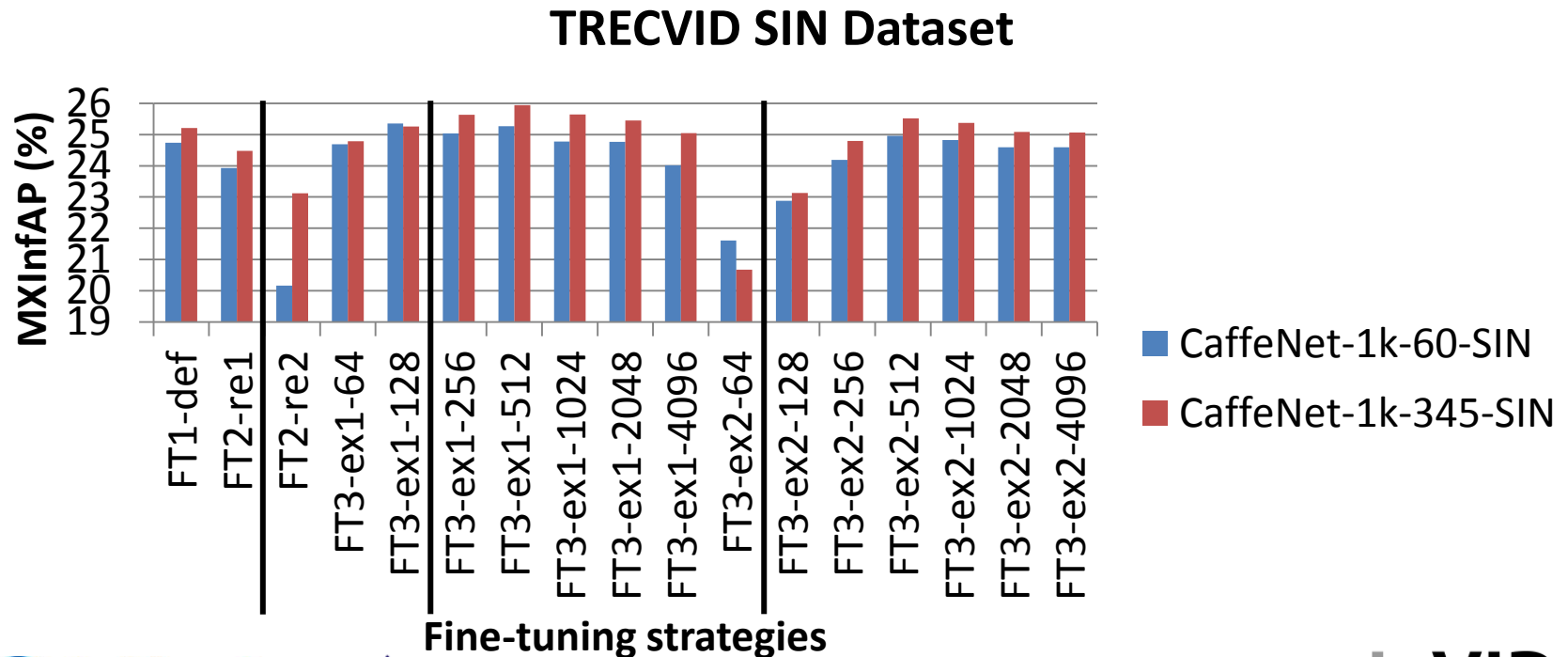
- Classification accuracy for the **FT1-def strategy** and **CaffeNet-1k-60-SIN**
  - $k$ : the learning rate multiplier of the pre-trained layers
  - $e$ : the number of training epochs
  - The best accuracy per  $e$  is underlined; the globally best accuracy is **bold and underlined**
- Improved accuracy for:
  - Smaller learning rate values for the pre-trained layers
  - Higher values for the training epochs

$k/e$	0.25	0.5	1	2	4	8	16	32
0.050	<u>0.348</u>	<u>0.362</u>	<u>0.402</u>	0.417	0.437	0.434	0.451	0.462
0.075	0.341	0.349	0.388	0.412	0.438	0.453	0.462	0.462
0.100	0.346	0.354	0.388	0.420	0.434	<u>0.455</u>	<u>0.463</u>	<b><u>0.470</u></b>
0.250	0.328	0.361	0.397	<u>0.421</u>	0.430	0.450	0.455	0.468
0.500	0.306	0.354	0.388	0.415	<u>0.439</u>	0.447	0.451	0.444
0.750	0.284	0.349	0.381	0.410	0.431	0.443	0.448	0.448
1.000	0.257	0.321	0.367	0.390	0.430	0.442	0.450	0.436



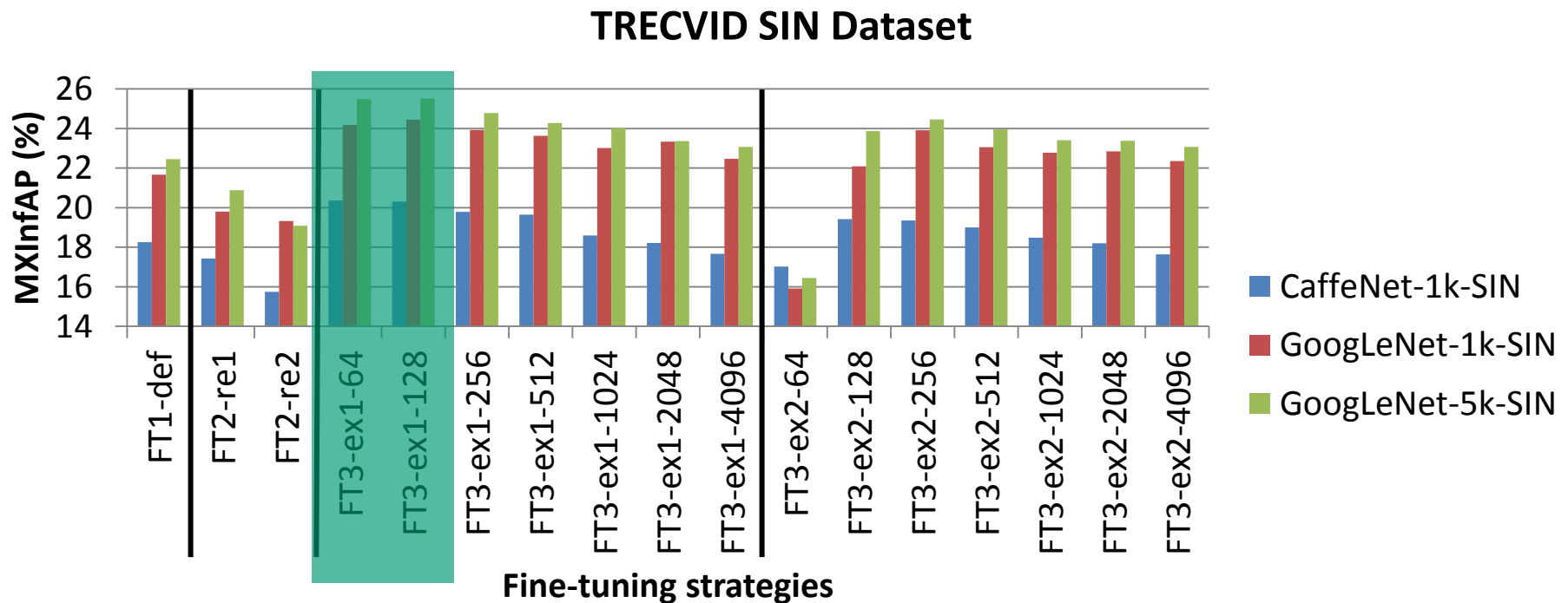
# Experimental results – # target concepts

- **Goal:** Assess impact of number of target concepts
- **Experiment:** We fine-tune the network for either 60 or all 345 concepts; we evaluate the same  $38 \subseteq 60$  concepts
- **Conclusion:** Fine-tuning a network for more concepts improves concept detection accuracy



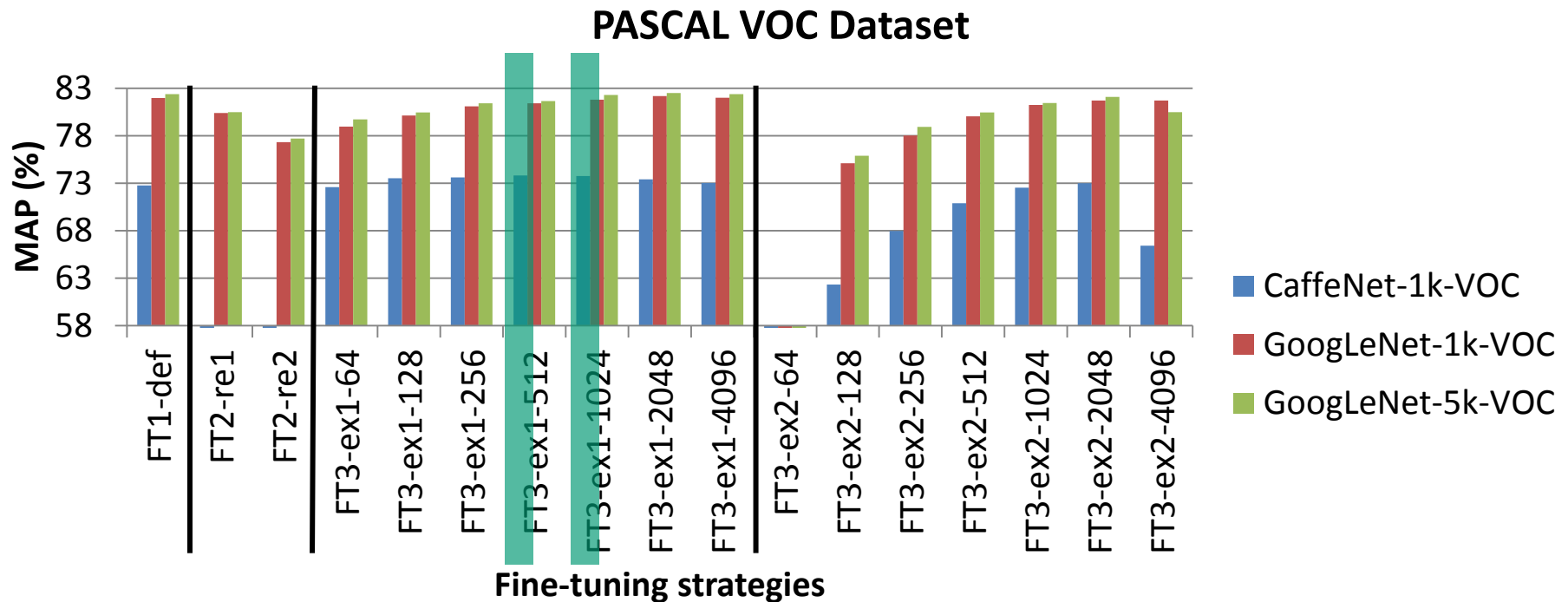
# Experimental results – direct output

- **Goal:** Assess DCNNs as standalone classifiers (direct output)
- **Experiment:** Fine-tuning on the TRECVID SIN dataset
- **Conclusion:** FT3-ex1-64 and FT3-ex1-128 constitute the top-two methods irrespective of the employed DCNN



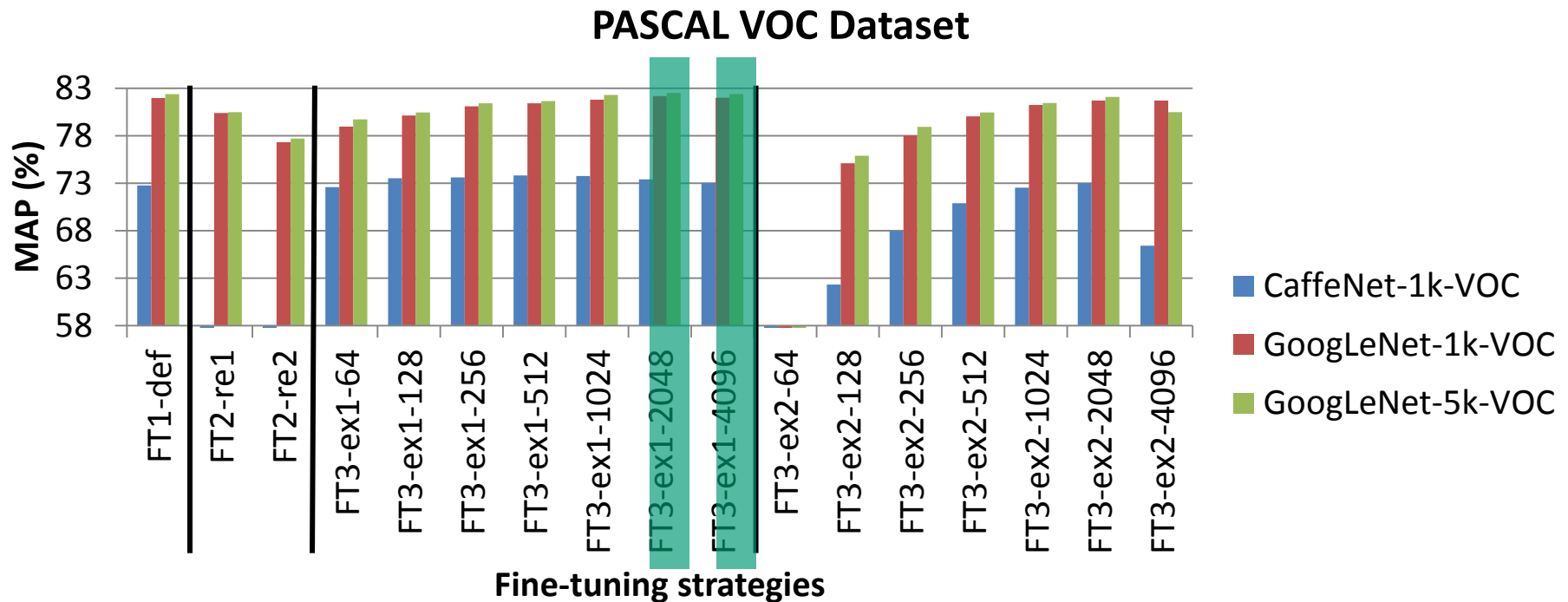
# Experimental results – direct output

- **Goal:** Assess DCNNs as standalone classifiers (direct output)
- **Experiment:** Fine-tuning on the PASCAL VOC dataset
- **Conclusion:** FT3-ex1-512 and FT3-ex1-1024 the best performing strategies for the CaffeNet network



# Experimental results – direct output

- **Goal:** Assess DCNNs as standalone classifiers (direct output)
- **Experiment:** Fine-tuning on the PASCAL VOC dataset
- **Conclusion:** FT3-ex1-2048 and FT3-ex1-4096 the top-two methods for the GoogLeNet-based networks

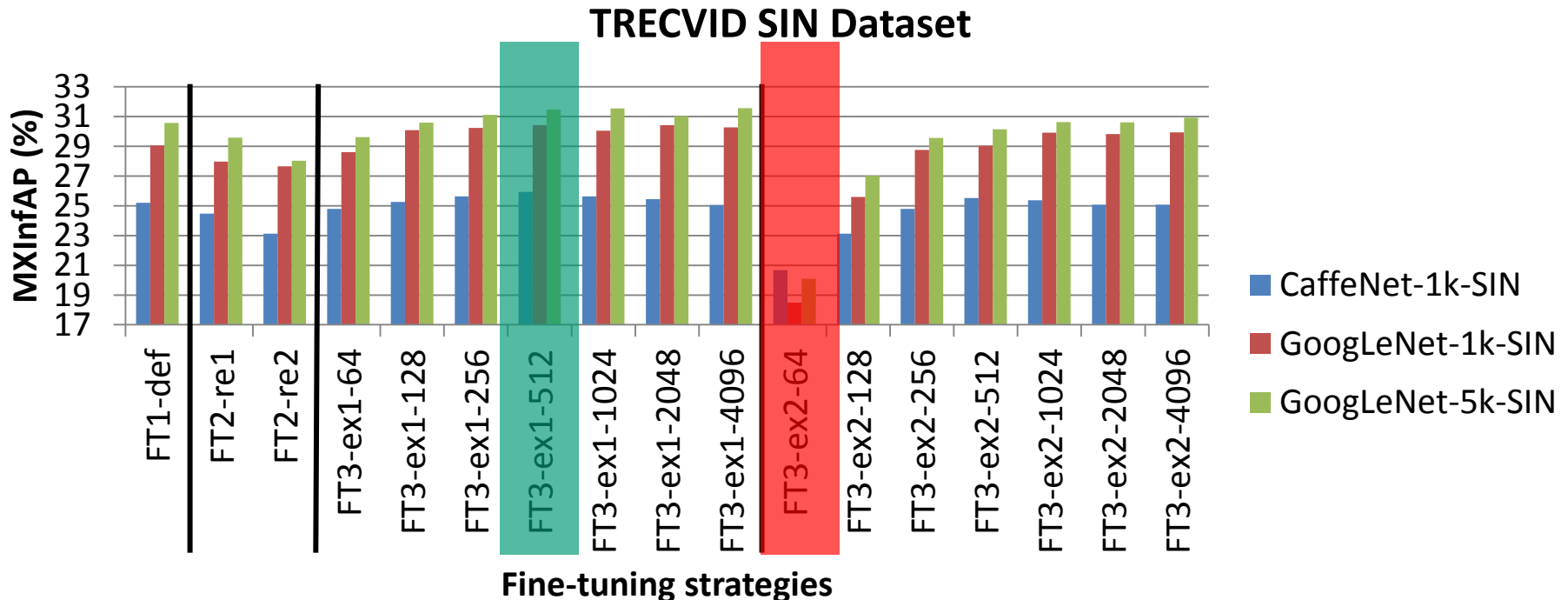


# Experimental results – direct output

- **Main conclusions:** FT3-ex strategy with one extension layer is always the best solution
- The optimal dimension of the extension layer depends on the dataset and the network architecture

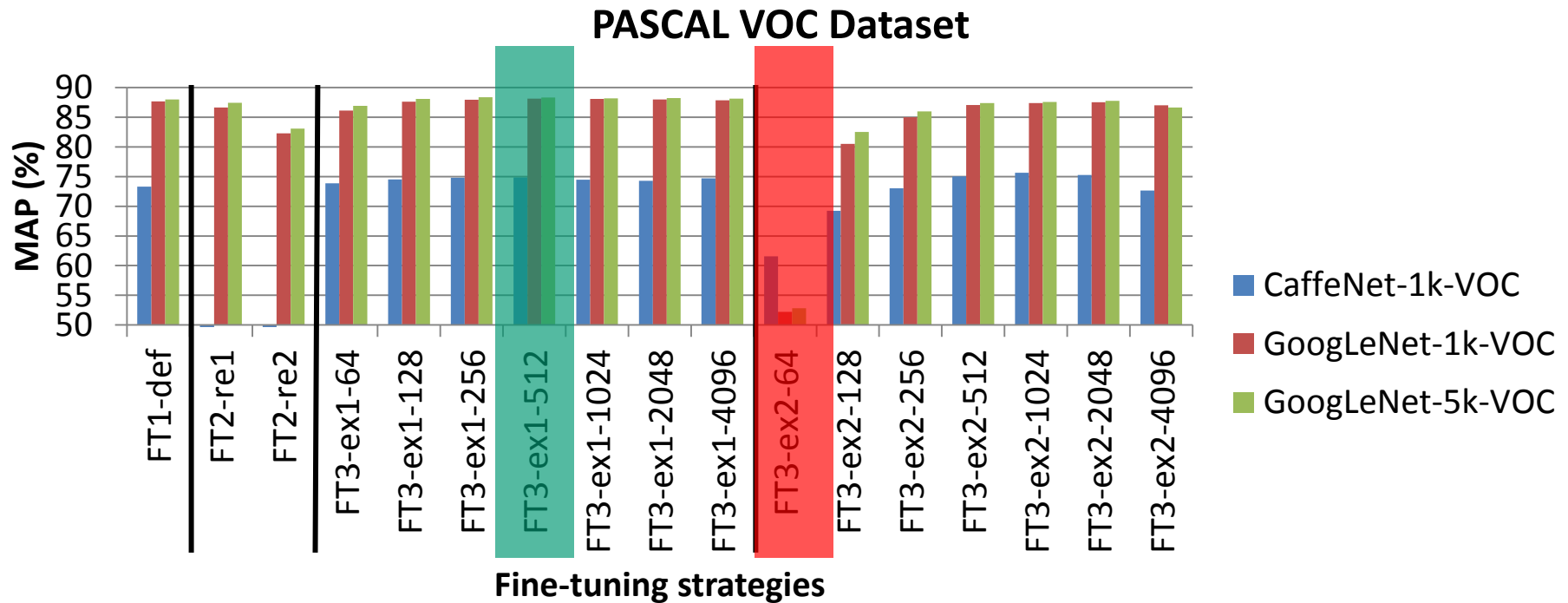
# Experimental results – DCNN features

- **Goal:** Assess DCNNs as feature generators (DCNN-based features)
- **Experiment:** LR concept detectors trained on the output of the last 3 layers and fused in terms of arithmetic-mean
- **Conclusion:** FT3- ex1-512 in the top-five methods; FT3-ex2-64 is always among the five worst fine-tuning methods



# Experimental results – DCNN features

- The same conclusions hold for the PASCAL VOC Dataset



# Experimental results – DCNN features

- **Main conclusions:** FT3-ex strategy almost always outperforms the other two fine-tuning strategies
  - FT3-ex1-512 is in the top-five methods
- **Additional conclusions:** drawn from results presented in the paper
  - Features extracted from the top layers are more accurate than layers positioned lower in the network; the optimal layer varies, depending on the target domain dataset
  - Better to combine features extracted from many layers
  - The presented results correspond to the fused output of the last 3 layers



# Conclusions

- Extension strategy almost always outperforms all the other strategies
  - Increase the depth with one fully-connected layer
  - Fine-tune the rest of the layers
- DCNN-based features significantly outperform the direct output
  - In a few cases the direct output works comparably well
  - Choose based on the application that the DCNN will be used; e.g., real time applications' time and memory limitations
  - Better to combine features extracted from many layers

# References

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- [18] Yosinski, J., Clune, J., Bengio, Y., Lipson, H.: How transferable are features in deep neural networks? CoRR abs/1411.1792 (2014)

# Thank you for your attention!

## Questions?

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